

FORM PTO-1449/A and B (Modified),  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  OCT 10 2003 SHEET 2	APPLICATION NO.: 09/805,398	ATTY. DOCKET NO.: C01104/70015
	FILING DATE: March 13, 2001	CONFIRMATION NO.: 1552
	APPLICANT: Kevin J. Dowling et al.	
	GROUP ART UNIT: 2821 2828	EXAMINER: David Vu

DE	03837313		Cheng Eric	05/24/1989	Abstract
JP	6 43830		NEC Corp.	02/18/1994	Yes
DE	8902905		Siemens AG	05/17/1990	No
DE	205307		Buero Fuer Schutzrechte Vels Werk	12/21/1983	No
KR	1019910009812		Samsung Electronics Co.	11/30/1991	Abstract
DE	29607270	U1	Kador und Kollegen	08/29/1996	No
DE	4041338	A1	Bayerische Motoren Werke AG	07/01/1992	Abstract
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DE	4419006	A1	Kella KG Hueck & Co.	12/06/1995	Abstract
DE	4130576	C1	Siemens AG	03/17/1993	Abstract
EP	567280	B1	Hughs Aircraft Co.	10/27/1993	
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DE	9414688	U1	Schlumer, Heinz-Dieter	02/02/1995	No

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Q		* Hewlett Packard Components, "Solid State Display and Optoelectronics Designer's Catalog," pp. 30-43, July 1973	
↑		* INTEC Research, TRACKSPOT, <a href="http://www.intec-research.com/trackspot.htm">http://www.intec-research.com/trackspot.htm</a> , pp. 1-4, April 24, 2003.	
		* SHARP, Optoelectronics Data Book, pp. 1096-1097, 1994/1995	
		* About DMX-512 Lighting Protocol - Pangolin Laser Systems, pp. 1-4, April 7, 2003	
		* Avitec Licht Design '89-90, pp. 1-4	No
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		* FURRY, Kevin and SOMERVILLE, Chuck, Affidavit, LED effects, February 22, 2002, pp. 24-29.	
		* PUTMAN, Peter H., "The Allure of LED," <a href="http://www.sromagazine.biz">www.sromagazine.biz</a> , June/July 2002, pp. 47-52.	
		* BREMER, Darlene, "LED Advancements Increase Potential," <a href="http://www.ccmag.com">www.ccmag.com</a> , April 2002, p. 115.	
Q		* LONGO, Linda, "LEDs Lead the Way," Home Lighting & Accessories, June 2002, pp. 226-234.	
EXAMINER		DATE CONSIDERED	
David vu		11/4/04	

#EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

\*a copy of this reference is not provided as it was previously cited by or submitted to the office in a prior application, Serial No. 09/669,121, filed September 25, 2000, and relied upon for an earlier filing date under 35 U.S.C. 120 (continuation, continuation-in-part, and divisional applications).

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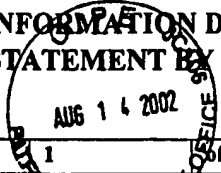
FORM PTOL 1449/A and B (Modified) <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>		APPLICATION NO.: 09/805,398	ATTY. DOCKET NO.: C01104/70015
		FILING DATE: March 13, 2001	CONFIRMATION NO.: 1552
		APPLICANT: Kevin J. Dowling et al.	
		GROUP ART UNIT: <del>2821</del> 2828	EXAMINER: David Vu
Sheet	1	of	2

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		Office/ Country	Number	Kind Code			
D ↑		* GB	2131589	A	Integrated Systems Engineering, Inc.	11/04/1982	
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		* DE	9414689		Schlumer, Heinz-Dieter	02/02/1995	No
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		APPLICANT: Kevin J. Dowling, et al.	
		GROUP ART UNIT: 28H 2828	EXAMINER: Unassigned
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Examiner's Initials#	Cite No.	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication or of issue of Cited Document MM-DD-YYYY
		Number	Kind Code		
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D		5,134,387		Smith, et al.	07/28/1992
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## OTHER ART - NON PATENT LITERATURE DOCUMENTS

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EXAMINER David Jn	DATE CONSIDERED 11/4/04
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<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>		FILING DATE: March 13, 2001	
		APPLICANT: Kevin J. Dowling, et al.	
		GROUP ART UNIT: <del>2841</del> 2828	EXAMINER: Unassigned
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FORM PTO-1449/A and B (Modified)		APPLICATION NO.: 09/805,368		ATTY. DOCKET NO.: C01104/70015	
OCT 15 2002		FILING DATE: March 13, 2001			
INFORMATION DISCLOSURE STATEMENT BY APPLICANT		APPLICANT: Kevin J. Dowling, et al.			
Sheet 6 of 6		GROUP ART UNIT: <del>2811</del> 2828		EXAMINER: Unassigned	

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↑		* High End Systems, Inc., Trackspot User Manual, August 1997, Excerpts (Cover, Title page, pp. ii through iii and 2-13 through 2-14)		
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2				

EXAMINER	David vn	DATE CONSIDERED	11/4/04
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\* a copy of this reference is not provided as it was previously cited by or submitted to the office in prior applications:

Serial No. 09/669,121, filed September 25, 2000;  
 Serial No. 09/425,770, filed October 22, 1999;  
 Serial No. 08/920,156, filed August 26, 1997;  
 Serial No. 09/213,540, filed December 17, 1998;  
 Serial No. 09/213,581, filed December 17, 1998;  
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which are relied upon for an earlier filing date under 35 U.S.C. 120 (continuation, continuation-in-part, and divisional applications).

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FORM PTO-1449/A and B (Modified)		APPLICATION NO.: 09/805,368	ATTY. DOCKET NO.: C01104/70015
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>		FILING DATE: March 13, 2001	
		APPLICANT: Kevin J. Dowling, et al.	
		GROUP ART UNIT: 28H 2828	EXAMINER: Unassigned
Sheet	4	of	6

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		APPLICANT: Kevin J. Dowling, et al.	
		GROUP ART UNIT: 281T 2828	EXAMINER: Unassigned
Sheet	3	of	6

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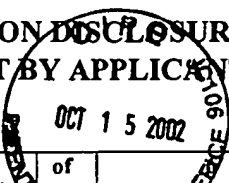
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		GROUP ART UNIT: <del>281</del> 2828		EXAMINER: Unassigned	
Sheet	2	of	6		

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Examiner's Initials#	Cite No.	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication or of issue of Cited Document MM-DD-YYYY
		Number	Kind Code		
2		* US 2001/0033488	A1	Chliwnyj, et al.	10/25/2001
		* Re. 36,030		Nadeau	01/05/1999
		* 2,909,097		A.E. Alden, et al.	10/20/1959
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FORM PTO-1449/A and B (Modified)				APPLICATION NO.: 09/805,368		ATTY. DOCKET NO.: C01104/70015	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT				FILING DATE: March 13, 2001			
				APPLICANT: Kevin J. Dowling, et al.			
				GROUP ART UNIT: 2821 28 28		EXAMINER: Vu, David Hung	
Sheet	2	of	2				

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Examiner's Initials#	Cite No.	Foreign Patent Document			Name of Patentee or Applicant of Cited Document (not necessary)	Date of Publication of Cited Document MM-DD-YYYY	Translation (Y/N)
		Office/ Country	Number	Kind Code			
O I P E MAR 12 2003 PATENT & TRADEMARK OFFICE			* FR 2 640 791			06/22/1990	
			* JP 03045166			02/26/1991	
			* WO 02/061328	A1		08/08/2002	

#### OTHER ART - NON PATENT LITERATURE DOCUMENTS

Examiner's Initials#	Cite No	Include name of the author (in CAPITAL LETTERS) title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, relevant page(s), volume-issue number(s), publisher, city and/or country where published.	Translation (Y/N)

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\* a copy of this reference is not provided as it was previously cited by or submitted to the office in prior applications:

Serial No. 09/669,121, filed September 25, 2000;  
Serial No. 09/425,770, filed October 22, 1999;  
Serial No. 08/920,156, filed August 26, 1997;  
Serial No. 09/213,540, filed December 17, 1998;  
Serial No. 09/213,581, filed December 17, 1998;  
Serial No. 09/213,548, filed December 17, 1998;  
Serial No. 09/815,418, filed March 22, 2001;  
Serial No. 09/213,607, filed December 17, 1998;

Serial No. 09/215,624, filed December 17, 1998;  
Serial No. 09/333,739, filed June 15, 1999;  
Serial No. 09/742,017, filed December 20, 2000;  
Serial No. 09/213,659, filed December 17, 1998;  
Serial No. 09/213,189, filed December 17, 1998;  
Serial No. 09/626,905, filed July 27, 2000;  
Serial No. 09/213,537, filed December 17, 1998;

which are relied upon for an earlier filing date under 35 U.S.C. 120 (continuation, continuation-in-part, and divisional applications).

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT		FILING DATE: March 13, 2001	
		APPLICANT: Kevin J. Dowling, et al.	
		GROUP ART UNIT: 2821 2828	EXAMINER: Vu, David Hung
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		Number	Kind Code		
O I P E MAR 13 2003 PATENT & TRADEMARK OFFICE		* US 2002/0047624	A1	Stam, et al.	04/25/2002
		* 4,070,568		Gala	01/24/1978
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		* 5,924,784		Chliwnyj, et al.	07/20/1999
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		* 6,181,126	B1	Havel	01/30/2001
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<b>FORM PTO-1449/A and B (Modified)</b>  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>		APPLICATION NO.: 09/805,368	ATTY. DOCKET NO.: C01104/70015
		FILING DATE: March 13, 2001	CONFIRMATION NO.: 1552
		APPLICANT: Kevin J. Dowling, et al.	
		GROUP ART UNIT: 2821 2828	EXAMINER: David Hung Vu
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		Number	Kind Code		
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Q		* 6,252,358	B1	Xydis, et al.	06/26/2001

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EXAMINER  David W	DATE CONSIDERED  11/4/04
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<b>FORM PTO-1449/A and B (Modified)</b>  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>		APPLICATION NO.: 09/805,368		ATTY. DOCKET NO.: C01104/70015	
		FILING DATE: March 13, 2001		CONFIRMATION NO.: 1552	
		APPLICANT: Kevin J. Dowling, et al.			
		GROUP ART UNIT: 2821 2828		EXAMINER: David Hung Vu	
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		Number	Kind Code		
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FORM PTO-1449/A and B (Modified)		APPLICATION NO.: 09/805,368	ATTY. DOCKET NO.: G01104/70015
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>		FILING DATE: March 13, 2001	CONFIRMATION NO.: 1352
		APPLICANT: Kevin J. Dowling et al.	
		GROUP ART UNIT: 2821 2828	EXAMINER: David Vu
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Examiner's Initials	Cite No.	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication or of issue of Cited Document MM-DD-YYYY
		Number	Kind Code		

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		Office/ Country	Number	Kind Code			
D		DE	9414689		Schlumer, Heinz-Dieter	02/02/1995	Yes
↑		DE	29620583	U1	Kundisch Microtech GmbH	03/27/1997	Yes
↑		DE	3917101		Rienecker Wolfgang Prof Dr Ing	11/29/1990	Yes
↑		DE	19602891	A1	Kammerer GmbH M	08/07/1997	Yes
↑		DE	3916875		Ullmann Ulo Werk	12/06/1990	Yes
↑		DE	19525897		Kostal Leopold GmbH & Co	10/02/1996	Yes
D		DE	03837313		Cheng Eric	05/24/1989	Yes

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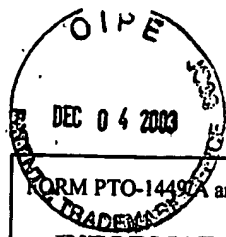
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EXAMINER <i>David vu</i>	DATE CONSIDERED <i>11/4/04</i>
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<b>FORM PTO-1449A and B (Modified)</b> <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>		APPLICATION NO.: 09/805,368		ATTY. DOCKET NO.: C01104/70015	
		FILING DATE: March 13, 2001		CONFIRMATION NO.: 1552	
		APPLICANT: Kevin J. Dowling et al.			
		GROUP ART UNIT: <del>2821</del> 2828		EXAMINER: David Vu	
Sheet	1	of	1		

#### U.S. PATENT DOCUMENTS

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		Number	Kind Code		
<u>R</u>		5,530,322		Ference et al.	06/25/1996

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EXAMINER <u>David vu</u>	DATE CONSIDERED <u>11/4/04</u>
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		FILING DATE: March 13, 2001	CONFIRMATION NO.: 1552
		APPLICANT: Kevin J. Dowling et al.	
		GROUP ART UNIT: <del>2821</del> 2628	EXAMINER: David Vu
Sheet	1	of	1

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		Number	Kind Code		
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↑		4,654,629		Bezos et al.	03/31/1987
↑		4,833,542		Hara et al.	05/23/1989
↓		5,268,828		Miura	12/07/1993
↓		5,471,052		Ryczek	11/28/1995
↓		5,636,303		Che et al.	06/03/1997

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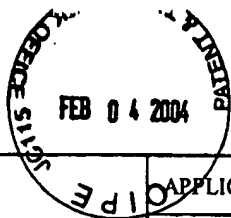
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EXAMINER	David vu	DATE CONSIDERED	11/4/04
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<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>		FILING DATE: March 13, 2001	CONFIRMATION NO.: 1552
		APPLICANT: Kevin J. Dowling et al.	
		GROUP ART UNIT: <del>2821</del> 2828	EXAMINER: David Vu
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		Number	Kind Code		
<i>W</i>		6,149,283		Conway et al.	11/21/2000
<i>W</i>		6,273,589	B1	Weber et al.	08/14/2001
<i>W</i>		6,504,301	B1	Lowery	01/07/2003

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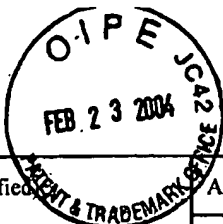
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FORM PTO-1449/A and B (Modified)

APPLICATION NO.: 09/805,368

ATTY. DOCKET NO.: C01104/70015

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

FILING DATE: March 13, 2001

CONFIRMATION NO.: 1552

APPLICANT: Kevin J. Dowling et al.

GROUP ART UNIT: ~~2821~~ 2828

EXAMINER: David Vu

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		Number	Kind Code		
<i>D</i>		5,726,535		Yan	03/10/1998
<i>D</i>		6,175,342 B1		Nicholson et al.	01/16/2001
<i>D</i>		6,577,287 B2		Havel	06/10/2003

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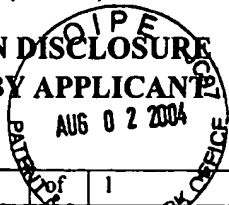
*David Vu*

DATE CONSIDERED

*11/4/04*

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<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> 		FILING DATE: March 13, 2001	CONFIRMATION NO.: 1552
		APPLICANT: Kevin J. Dowling et al.	
		GROUP ART UNIT: <del>2821</del> 2828	EXAMINER: David Hung Vu
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		Number	Kind Code		
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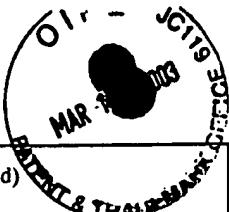
### FOREIGN PATENT DOCUMENTS

Examiner's Initials	Cite No.	Foreign Patent Document			Name of Patentee or Applicant of Cited Document (not necessary)	Date of Publication of Cited Document MM-DD-YYYY	Translation (Y/N)
		Office/Country	Number	Kind Code			
D		WO	99/30537	A1	Proquip Special Projects Limited	06-17-1999	Y
D		WO	01/73818	A1	Hong	10-04-2001	Y
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EXAMINER	David vu	DATE CONSIDERED	11/4/04
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\*a copy of this reference is not provided as it was previously cited by or submitted to the office in a prior application, Serial No. \_\_, filed \_\_, and relied upon for an earlier filing date under 35 U.S.C. 120 (continuation, continuation-in-part, and divisional applications).  
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<b>FORM PTO-1449/A and B (Modified)</b>  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>		APPLICATION NO.: 09/805,368	ATTY. DOCKET NO.: C01104/70015
		FILING DATE: March 13, 2001	
		APPLICANT: Kevin J. Dowling, et al.	
		GROUP ART UNIT: <del>2821</del> 2828	EXAMINER: Vu, David Hung
Sheet	1	of	1

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U.S. PATENT DOCUMENTS

Examiner's Initials#	Cite No.	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication or of issue of Cited Document MM-DD-YYYY
		Number	Kind Code		
W		*4,824,269		Havel	April 25, 1989

OTHER ART — NON PATENT LITERATURE DOCUMENTS

Examiner's Initials#	Cite No	Include name of the author (in CAPITAL LETTERS) title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, relevant page(s), volume-issue number(s), publisher, city and/or country where published.	Translation (Y/N)	
W		*Case No. 6:02-cv-270-ORL-19JGG in the United States District Court, Middle District of Florida, Orlando Division, Plaintiff's Amended Verified Complaint		
↑		*Case No. 6:02-cv-270-ORL-19JGG in the United States District Court, Middle District of Florida, Orlando Division, Defendant's Answer and Counterclaims		
		*Case No. 6:02-cv-270-ORL-19JGG in the United States District Court, Middle District of Florida, Orlando Division, Plaintiff's Answer to Counterclaims		
		*Case No. 6:02-cv-270-ORL-19JGG in the United States District Court, Middle District of Florida, Orlando Division, Plaintiff's Answers to Defendant's First Set of Interrogatories w/Exhibit 1		
↓		*Case No. 02 CV 11137MEL in the United States District Court, District of Massachusetts, Plaintiff's Complaint and Jury Demand		
W		*Case No. 02 CV 11137MEL in the United States District Court, District of Massachusetts Defendant's Answer and Affirmative Defenses		

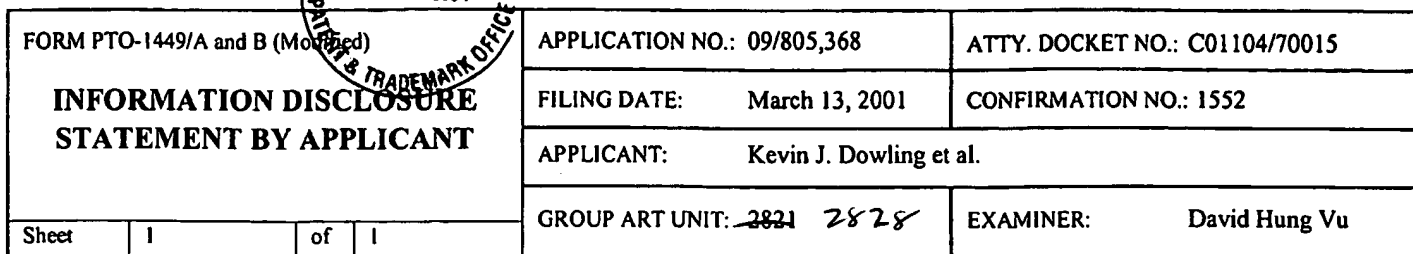
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[illegible]

Examiner's Initials	Cite No.	Foreign Patent Document			Name of Patentee or Applicant of Cited Document (not necessary)	Date of Publication of Cited Document MM-DD-YYYY	Translation (Y/N)
		Office/ Country	Number	Kind Code			
R		FR	2586844	A1	<del>                    </del>		Y

EXAMINER	David m	DATE CONSIDERED	11/4/04
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\* a copy of this reference is not provided as it was previously cited by or submitted to the office in a prior application, Serial No. \_\_, filed \_\_, and relied upon for an earlier filing date under 35 U.S.C. 120 (continuation, continuation-in-part, and divisional applications).